## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

### FORM 6-K

**Report of Foreign Private Issuer** Pursuant to Rule 13a-16 or 15d-16 under the Securities Exchange Act of 1934

For the month of February 2023

Commission File Number: 001-40106

# 4D pharma plc (Translation of Registrant's name into English)

5th Floor, 9 Bond Court Leeds LS1 2JZ **United Kingdom** Tel: +44 (0) 113 895 013

(Address of principal executive offices)

| Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F. Form 20-F ⊠ Form 40-F □ |
|--|
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):                    |
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):                    |
|  |

On February 16, 2023, a regulatory news service announcement titled "Notice of Cancellation of Admission to Trading on AIM" was published regarding the cancellation of the 4D pharma plc (the "Company," "4D," "4D pharma," "we," "us" or "our") ordinary shares from admission to trading on the Alternative Investment Market ("AIM").

A copy of the announcement is attached as Exhibit 99.1 to this current report on Form 6-K and is incorporated by reference herein. This announcement is incorporated by reference into the registration statements on Form F-3 (File No. 333-263372 and File No. 333-264419) of 4D pharma plc, filed with the U.S. Securities and Exchange Commission, to be a part thereof from the date on which this announcement is submitted, to the extent not superseded by documents or reports subsequently filed or furnished.

#### INDEX TO EXHIBITS

 Exhibit Number
 Exhibit Title

 99.1
 Announcement, dated February 16, 2023.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

4D pharma plc

Date: February 23, 2023

/s/ James Clark James Clark

Administrator

RNS Number: 0605Q

AIM

16 February 2023

#### NOTICE

16/02/2023 - 07:00

#### NOTICE OF CANCELLATION OF ADMISSION TO TRADING ON AIM

Pursuant to AIM Rule 41 the following securities have been cancelled from trading on AIM with effect from the time and date of this notice.

| 4D PHARMA PLC                     |                         |                            |  |
|-----------------------------------|-------------------------|----------------------------|--|
| 213800O49VYSXWE2ZD52              |                         |                            |  |
| ORDINARY SHARES<br>OF 0.25P EACH, | (BJL5BR0)(GB00BJL5BR07) | 4D PHAR/PAR VTG FPD 0.0025 |  |
| FULLY PAID                        |                         |                            |  |

If you have any queries or require further information, please contact the company's nominated adviser.

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**END** 

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